

Review

Superlative carotenoids*

Hans-Richard Sliwka[™] and Vassilia Partali

Norwegian University of Science and Technology, Department of Chemistry, Trondheim, Norway

A selection of carotenoids beyond normal appearance or properties has been presented at the 16th International Symposium on Carotenoids. Some of the exceptional compounds shown at the conference cannot be reproduced in this proceeding since they have not yet been published. In addition, editorial space limitation does not allow illustrating all of the previously mentioned carotenoids.

Key words: carotenoids, polyenes, antioxidants, antireductants, polyunsaturated fatty acids, polyunsaturated lipids, aggregation, selfassembling

Received: 28 September, 2011; accepted: 01 March, 2012; available on-line: 17 March, 2012

INSTRODUCTION

All compounds with the key structural elements of carotenoids (polyene chain connected to rings and functional groups) are considered carotenoids in the context of this presentation. Superlative is used to express "more than is normal." In other words, the presented carotenoids will normally not be found in the Carotenoids Handbook (Britton *et al.*, 2004).

THE SHORTEST CAROTENOID

The typical representative of carotenoids is β , β 'carotene **1** (Scheme 1). Downsizing this compound results in the shortest carotenoid 7-apo- β -carotene **2** (Naves, 1964). Adding an acid group generates the shortest carotenoic acid: C10:1 acid, cyclogeranic acid (**3**) (Kappeler *et al.*, 1953).

THE LONGEST CAROTENOIC ACID

Subsequent elongation of C10:1 (3) provides a series of homologous carotenoic acids C12:2, C15:3, C17:4, C20:5 (retinoic acid), C22:6, C25:7, C27:8, C30:9 (C30-acid), C32:10 C35:11, C37:12 and finally results in the longest carotenoic acid so far detected in nature: torular-hodin C40:13 (4), (Scheme 1) (Isler *et al.*, 1959). The natural limit has now been passed by synthesizing C45:15 acid (Zaidi, unpublished). The surface properties of the



Na, K and Cs salts of these polyunsaturated fatty acids have been determined and compared with the data of saturated acids (Zaidi *et al.*, unpublished).

THE MOST WATER-SOLUBLE CAROTENOID

Carotenoids are commonly classified as hydrophobic pigments. It is, therefore, quite astonishing that the best known carotenoid since historical times is water-soluble. The water-solubility of crocin (5), the saffron constituent, is exceptional; there is no saturation point (Nalum Naess *et al.*, 2006). Some thousand years passed before a new water-soluble carotenoid emerged: astaxanthin-lysine 6 (Scheme 2) (Jackson *et al.*, 2004, Nalum Naess *et al.*, 2007). The distinct properties of hydrophilic carotenoids attract increasing interest (Lockwood *et al.*, 2006, Breukers *et al.*, 2009, Sliwka *et al.*, 2010).



THE MOST LIPOPHILIC CAROTENOID

Opposed to the few hydrophilic carotenoids are the numerous lipophilic ones. Consequently, the most lipophilic carotenoids would be carotenoid lipids. Dicarotenoid glycerol 7 (Partali *et al.*, 1996) and carotenoid phospholipid 8 (Foss *et al.*, 2003) are the most fatty ca-



[™]e-mail: hrs@nvg.ntnu.no

*Presented at the 16th International Symposium on Carotenoids, 17–22 July, 2011, Kraków, Poland

rotenoids described so far (Scheme 3). Nevertheless, the zwitterionic end group in phospholipid 8 confers some hydrophilicity to the molecule, it becomes amphiphilic. When in contact with water, phospholipid 8 self-assembles to aggregates.

THE SMALLEST CAROTENOID AGGREGATE

The aggregate morphology and size of the phospholipid **8** have been determined (Foss *et al.*, 2005b). In analogy to crystals, which are built of crystallization units, aggregates are expected to form basic aggregation units. The aggregation unit of phospholipid **8** is an octamer, representing the simplest repeating primary component expressing absorption and optical activity (Foss *et al.*, 2005a) (Scheme 4).

THE MOST OPTICAL ACTIVE CAROTENOID LIPID

Phospholipid 8, although a pure enantiomer, is not optically active showing a flat line in the CD spectrum. Absence of optical activity is common for monomolecular solutions of glycerolipids. However, in water optical inactive enantiomer 8 assembles to optical active aggregates causing strong CD signals. Aggregation functions as an amplifier for the weak or absent optical activity in fats (Scheme 5). The advantages of carotenoids in fat research are evident. Carotenoid fatty acids transmit color to lipids, they become visible for eyes and detectable for instruments (Foss *et al.*, 2005a).

GOOD NEIGHBORS

The glycerolipid structure allowed combining three different antioxidants in triglyceride **9** (Scheme 6) (Naal-sund *et al.*, 2001).

THE BEST ANTIOXIDANT

Carotenoids easily transfer electrons to noxious radicals transforming them to benign molecules. This property established the reputation of carotenoids as potent antioxidants. The best antioxidant is astaxanthin (10) (Scheme 7) (Miki, 1991; Lockwood & Gross, 2005).

THE BEST ANTIREDUCTANT

Carotenoids do not only release electrons they also capture electrons. Electron transfer from radicals to carotenoids has not yet been observed in nature but has recently been predicted (Martinez *et al.*, 2008). Usually, electron transfer to carotenoids is enforced with electrochemical methods, with laser or nuclear radiation (Mairanovsky *et al.*, 1975; Land *et al.*, 1978; Naqvi *et al.*, 2009). We have found a more convenient approach: carbonyl carotenoids take up electrons from alkaline DMSO (DMSO⁻, CH₃(S=O)CH₂⁻). Thus, C20-dialdehyd **11** and other carotenoid dialdehydes (C10–C50) all react with similar activity as antireductants (Scheme 8) (Øpstad *et al.*, 2010) (Zeeshan, unpublished).

CAROTENOIDS AS GENE CARRIERS

Cationic phospholipids, e.g. C30-6 12 (Scheme 9), function as potential gene carriers. In these phospholipids, the rigid unsaturated carotenoid moiety is part



Scheme 4. Self-assembling of phospholipid 8 to aggregates with an octamer as aggregation unit.



Scheme 5. No CD signals of 8 in MeOH, CD bands of 8 in water.



Scheme 7. Antioxidant function by electron transfer from carotenoid to radical.



Scheme 8. Antireductant function by electron transfer from radical to carotenoid.



Scheme 9. Cationic phospholipid C30-6.

of a molecule with saturated flexible alkyl chains of different lengths. The length of the alkyl chain modifies the efficiency of the carrier. The results so far obtained confirm the validity of the concept (Popplewell *et al.*, 2012).

PROPELLER CAROTENOIDS

Carotenoids form propeller shaped molecules under certain circumstances. Propeller **13** has a benzene ring as hub and three C30-chain as wings (Scheme 10) (Háda *et al.*, 2010). Another propeller is build with trithia-cy-clohexane as hub and C30-chains as wings (Sandru, unpublished).

THE MOST PRECIOUS CAROTENOIDS

Hydrophilic carotenoids aggregate into several macromolecular structures; vesicles, rods, spheroids and cones have been observed (Sliwka *et al.*, 2010) (Øpstad unpublished). The size and morphology of the supramolecular assembly may change with time. Uncertainty on the aggregate architecture is avoided when carotenoids with an appropriate anchor self-assemble on metal surfaces. Previously, carotenoid thione **14**, carotenoid thiol **15** and carotenoid-selena phospholipid **16** formed strong molecular layers on gold surfaces (Scheme 11) (Ion *et al.*, 2002,



Scheme 10. Propeller carotenoid.





Liu *et al.*, 2002; Foss *et al.*, 2006). The self-assembling effect was applied to attach carotenoid selena derivative **16** on gold nanoparticles. The selenacarotenoid-gold nanoparticles have a predefined size and morphology (Sandru, unpublished).

THE MOST EUROPEAN CAROTENOID

Adding water or acid to dioxane carotenoid 17 induces the molecule to display the blue and yellow color of the European flag (Scheme 12) (Li *et al.*, 2010). More European in character are carotenoid europium salts. These Eu-carotenoates will be used in photophysical investigations (Zaidi & Heng unpublished).

MAXIMUM λ_{max}

Push-pull compound **18** with only four double bonds displays the highest λ_{max} measured for a polyene (Scheme 13) (Blanchard-Desce *et al.*, 1997). However, polarization incommodes studies of absorption in relation to the number of conjugated double bonds. Such investigation must be based on unperturbed polyene chains.

THE LONGEST CAROTENOID

C60:19 carotenoid **19** represent since 1951 the ultimate length record (Scheme 14) (Karrer & Eugster, 1951). An attempt to go beyond C60 failed, C70:23 β , β -carotene was not stable (Broszeit *et al.*, 1997). Modifying the classical Wittig reaction has now allowed extending C40-zeaxanthin *via* C50-, C60- and C70- to stable C80-zeaxanthin with 27 conjugated double bonds (Zeeshan, unpublished).

CONCLUSION

Other superlative carotenoids are worth mentioning. Yet, superlative carotenoids may not be important. It is, however, advantageous to use ordinary, commercial carotenoids in the synthesis of superlative compounds.

Acknowledgement

We thank Hansgeorg Ernst, BASF SE, Ludwigshafen, Germany, for generously supporting us with carotenoids and Wittig salts.

REFERENCES

- Blanchard-Desce M, Alain V, Bedworth PV, Marder SR, Fort A, Runser C, Barzoukas M, Lebus S, Wortmann R (1997) Large quadratic hyperpolarizabilities with donor-acceptor polyenes exhibiting optimum bond length alternation: Correlation between structure and hyperpolarizability. *Chem Eur J* 3: 1091–1104.
- Breukers S, Øpstad CL, Sliwka HR, Partali V (2009) Hydrophilic carotenoids: surface properties and aggregation behavior of the potassium salt of the highly unsaturated diacid norbixin. *Helv Chim Acta* 92: 1741–1747.
- Britton G, Liaaen-Jensen S, Pfander H, eds (2004) Carotenoids Handbook. Basel: Birkhäuser.
- Broszeit G, Diepenbrock F, Gräf O, Hecht D, Heinze J, Martin HD, Mayer B, Schaper K, Smie A, Strehblow HH (1997) Vinylogous beta-carotenes: Generation, storage, and delocalization of charge in carotenoids. *Liebigs Ann/Recueil* 2205–2213.
- Foss BJ, Ion A, Partali V, Sliwka HR, Banica FG (2006) Electrochemical and EQCM investigation of a selenium derivatized carotenoid in the self-assembled state at a gold electrode. J Electroanal Chem 593: 15–28.
- Foss BJ, Nalum Naess S, Sliwka HR, Partali V (2003) Stable and highly water-dispersible, highly unsaturated carotenoid phospholipids — Surface properties and aggregate size. *Angew Chem Int Ed* 42: 5237–5240.
- Foss BJ, Sliwka HR, Partali V, Köpsel C, Mayer B, Martin HD, Zsila F, Bikadi Z, Simonyi M (2005a) Optically active oligomer units in aggregates of a highly unsaturated, optically inactive carotenoid phospholipid. *Chem Eur J* 11: 4103–4108.
 Foss BJ, Sliwka HR, Partali V, Nalum Naess S, Elgsaeter A, Melø TB,
- Foss BJ, Sliwka HR, Partali V, Nalum Naess S, Elgsaeter A, Melø TB, Naqvi KR (2005b) Hydrophilic carotenoids: surface properties and aggregation behavior of a highly unsaturated carotenoid lysophospholipid. *Chem Phys Lipids* **134**: 85–96.
- Háda M, Nagy V, Gulyás-Fekete G, Deli J, Agócs A (2010) Towards carotenoid dendrimers: carotenoid diesters and triesters with aromatic cores. *Helv Chim Acta* 93: 1149–1155.
- Ion A, Partali V, Sliwka HR, Banica FG (2002) Electrochemistry of a carotenoid self-assembled monolayer. *Electrochem Comm* 4: 674–678.
- Isler O, Guex W, Rüegg R, Ryser G, Saucy G, Schwieter U, Walter M, Winterstein A (1959) Carotinoide vom Typus des Torularhodins. *Helv Chim Acta* 42: 864–871.
- Jackson HL, Cardounel AJ, Zweier JL, Lockwood SF (2004) Synthesis, characterization, and direct aqueous superoxide anion scavenging of a highly water-dispersible astaxanthin-amino acid conjugate. *Bioorg Med Chem Lett* 14: 3985–3991.
- Kappeler H, Grütter H, Schinz H (1953) Zur Cyclisation von transund cis-Verbindungen der Geranylreihe. Helv Chim Acta 36: 1862– 1876.
- Karrer P, Eugster CH (1951) Synthese des Dodecapreno-beta-Carotins. Helv Chim Acta 34: 1805–1814.

- Land EJ, Lafferty J, Sinclair RS, Truscott TG (1978) Absorption-spectra of radical ions of polyenones of biological interest. J Chem Soc Faraday Transact I 74: 538–545.
- Li H, Rebmann E, Øpstad CL, Schmid R, Sliwka HR, Partali V (2010) Synthesis of a highly unsaturated, stable hydroxy peroxide: A yellow-blue color-changing carotenoid oxidation product with leuco dye properties. *Eur J Org Chem* 4630–4636.
- Liu DZ, Szulczewski GJ, Kispert LD, Primak A, Moore TA, Moore AL, Gust D (2002) A thiol-substituted carotenoid self-assembles on gold surfaces. J Phys Chem B 106: 2933–2936.
- Lockwood SF, Foss BJ, Nadolski G (2006) Hydrophilic carotenoid amphiphiles: Methods of synthesis and biological applications. *Mini-Rev Med Chem* 6: 953–969.
- Lockwood SF, Gross GJ (2005) Disodium disuccinate astaxanthin (Cardax (TM)): Antioxidant and antinflammatory cardioprotection. *Cardiorasc Drug Rev* 23: 199–216.
- Mairanovsky VG, Engovatov AA, Ioffe NT, Samokhvalov GI (1975) Electron-donor and electron-acceptor properties of carotenoids electrochemical study of carotenes. *J. Electronnal Chem* 66: 123–137
- electrochemical study of carotenes. J Electroanal Chem 66: 123–137. Martinez A, Rodriguez-Girones MA, Barbosa A, Costas M (2008) Donator acceptor map for carotenoids, melatonin and vitamins. J Phys Chem A 112: 9037–9042.
- Miki W (1991) Biological functions and activities of animal carotenoids. Pure Appl Chem 63: 141–146. Naalsund T, Malterud KE, Partali V, Sliwka HR (2001) Synthesis of
- Naalsund T, Malterud KE, Partali V, Sliwka HR (2001) Synthesis of a triantioxidant compound: combination of beta-apo-8'-carotenoic acid, selenacapryloic acid and trolox in a triglyceride. *Chem Phys Lipids* **12**: 59–65.
- Nalum Naess S, Elgsaeter A, Foss BJ, Li BJ, Sliwka HR, Partali V, Melø TB, Naqvi KR (2006) Hydrophilic carotenoids: Surface properties and aggregation of crocin as a biosurfactant. *Helv Chim Acta* 89: 45–53.
- Nalum Naess S, Sliwka HR, Partali V, Melø TB, Naqvi KR, Jackson HL, Lockwood SF (2007) Hydrophilic carotenoids: surface properties and aggregation of an astaxanthin-lysine conjugate, a rigid, long-chain, highly unsaturated and highly water-soluble tetracationic bolamphiphile. *Chem Phys Lipids* 148: 63–69.
- Naqvi KR, Melø TB, Javorfi T, Gonzalez-Perez S, Arellano JB (2009) Facile method for spectroscopic examination of radical ions of hydrophilic carotenoids. *Phys Chem Chem Phys* **11**: 6401–6405.
- Naves YR (1964) Etudes sur les matières végétales volatiles 195 Sur les alpha- et beta-méthylcyclogéraniolènes. *Helv Chim Acta* 47: 1833–1837.
- Øpstad CL, Sliwka HR, Partali V (2010) Facile electron uptake by carotenoids under mild, non-radiative conditions: formation of carotenoid anions. Eur J Org Chem 4637–4641.
- Partali V, Kvittingen L, Sliwka HR, Anthonsen T (1996) Stable, highly unsaturated glycerides — enzymatic synthesis with a carotenoic acid. Angew Chem Int Ed 35: 329–330.
- Popplewell LJ, Abu-Dayya A, Khana T, Flintermann M, Abdul Khalique N, Raju L, Øpstad CL, Sliwka HR, Partali V, Dickson G, Pungente MD (2012) Novel cationic carotenoid lipids as delivery vectors of antisence oligonucleotides for exon skinning in Duchenne muscuar dystrophy. *Molecules* 17: 1138–1148.
- Sliwka HR, Partali V, Lockwood SF (2010) Hydrophilic carotenoids: Carotenoid aggregates. In: *Caroteonoids*. Landrum JT, ed, pp 31–58 Boca Raton: CRC Press.