

QUARTERLY

Elements of thermodynamics in RNA evolution**

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The paper presents some as pects cor relating ther mal stability of RNA folding and the occurrence of structural motifs in natural ribonucleic acids. Particularly, the thermody namic stability of 2'-5' and 3'-5' linked RNA and the contribution of unpaired ter minal nucleotides (dangling ends) in second ary (2D) and tertiary (3D) structures of RNA are dis cussed. Both ex am ples suggest that during evolution na ture se lected se quences and structures of RNA which are the most ther mally stable and efficient for their biological function.

The world of a living or gan ism has changed since life began on the Earth. Ribonucleic acids (RNA), deoxyribonucleic ac ids (DNA) and pro teins are among those biomolecules which evolution used to adapt to new en viron ments. The com monly used term – RNA world – is re lated to the hy poth e sis that RNA was the first among this triad of molecules. During evolution, DNA and proteins have become more stable and biologically more efficient and adopted most functions of RNA [1]. The biological functions of RNAs are depend ent on their struc ture. The tran scrip tion ex per i ments in which the length of RNA is ex tended demonstrate that structural elements present in shorter transcripts are conserved in lon ger RNA. It pre sum ably means that fold ing of RNA is driven thermodynamically [2]. Moreover, the most ther modynamically stable struc tures of RNA are very of ten the same as those found from phylo gen etic anal y sis. Occa sionally, however, phylogenetic structures

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Abbreviations: CD, circular dichroism; NMR, nu clear mag netic res o nance; 2D, two di men sional; 3D, three di men sional.

can be identical to thermodynamically suboptimal struc tures, a struc tures that is dif ferent in free en ergy by 5% from the most sta ble structure [3].

In this paper we discuss the relations between thermodynamic stability of 2'-5' and 3'-5' linked RNA as well as the con tri bu tion of unpaired terminal nucleotides (dangling ends) in secondary (2D) and tertiary (3D) structure of RNA. Sequential analysis of some structural motifs in RNA and their contribution to the thermal stability suggest that the most thermodynamically stable motifs occur in RNA more often. It allows to assumption that, during evolution, RNA changed in a way to adopt, at once, the most thermodynami callystable and themost biologically efficient structure.

RESULTS AND DISCUSSION

Thermal stability of 2'-5' RNA and 3'-5' RNA

Naturally occurring RNA and DNA contain 3'-5' ori ented internucleotide bonds. The only exemption is 2'-5' pppApApA whose synthesis is indirectly promoted by α and β -interferons [4]. There can be several reasons why RNA is linked *via* 3'-5' phosphodiester bonds.

It could be connected to differ ences in the rate of formation and chemical stability of RNA containing 2'-5' and 3'-5' internucleotide bonds. A sec ond rea son could be the in ability of 2'-5' RNA to form biologicallyactive structures.

The oligomerization of activated 5'-phosphates, such as 5'-phosphoimidazolates of ribonucleosides, in conditions similar to prebiotic and in the presence of complementary polyribonucleic acid re sults in the for ma tion of various sizes of RNA [5]. Analysis of the internucleotide bonds orientation within the oligoribonucleotides formed by transcription of various templates demonstrated that 2'-5' internucleotide bonds are formed predom i nantly or at least in equiv a lent amounts to 3'-5' internucleotide bonds. This suggests that the for mation rate of the internucleotide bond is not a selective factor. An alternative element which could cause domination of RNA with 3'-5' over 2'-5' internucleotide bonds is chemical stability. Chemical cleav age of RNA proceeds via an in-line mechanism and requires placing in line the functional groups participating in the process [6]. The orientation of the 3'-hydroxyl, the phosphorus atom and the leaving 5'-oxygen in 2'-5' RNA indicates that they are placed almost in line (Fig. 1) [7]. A different arrangement occurs in 3'-5' RNA. It is neces sary to break stack ing in



2' - 5' RNA Fig ure 1. The ar range ment of phosphodiester bonds in 2'-5' and 3'-5' RNA.

The ar rows in di cate the shift of the elec trons dur ing in-line hy dro ly sis of phosphodiester bonds.

teractions of adjacent nucleotides and rearrange the ε and ξ bonds within the internucleotide bond to place the 2'-hydroxyl, phos pho rus and the leav ing 5'-ox y gen in line. However, a kinetic study of the cleavage of RNA containing 2'-5' and 3'-5' internucleotide bonds demonstrates that the stability of was mea sured by the UV melt ing method in 1 M so dium chlo ride, 10 mM so dium phos phate and 0.5 mM Na₂EDTA at pH 7.0 [11]. The melting of self-complementary 2-5' oligoribonucleo tides con tain ing G-C base pairs dem on strated typ i cal melt ing curves, how ever, the tran si tion was broad and the hyperchromism

Table 1. Comparison of ther mody namic parameters for duplex for mation by 2'-5' and 3'-5' oligoribonucleotides.

Sequences	(2′-5′) oli go mers			(3′-5′) oligomers				(3'-5') – (2'-5') dif fer ence data				
	$-\Delta H^{\circ}$	$-\Delta S^{\circ}$	$-\Delta G^{\circ}_{37}$	T _m	$-\Delta H^{\circ}$	$-\Delta S^{\circ}$	$-\Delta G^{\circ}_{37}$	T _m	$-\Delta\Delta H^{\circ}$	$-\Delta\Delta S^{\circ}$	$-\Delta\Delta G^{\circ}_{37}$	$\Delta T_{\rm m}$
	kcal/ mol	eu	kcal/ mol	°C	kcal/ mol	eu	kcal/ mol	°C	kcal/ mol	eu	kcal/ mol	°C
$(AG)_5 \times (CU)_5$			12 and 35		91.2	250.2	13.9	66.5				
(CGGCGCCG) ₂	43.5	118.0	6.9	46.0	76.8	199.6	14.8	79.3	33.3	81.6	7.9	33.3
(GGCGCC) ₂	29.7	79.4	5.1	30.9	67.8	182.0	11.3	65.2	38.1	102.6	6.2	34.3
(GCGCGC) ₂	28.3	76.6	4.5	25.0	66.0	178.5	10.6	62.1	37.7	101.9	6.1	37.1
(GCCGGC) ₂	31.7	88.4	4.3	23.8	62.7	166.0	11.2	67.2	31.0	77.6	6.9	43.4
(UGCGCA) ₂	28.7	81.1	3.5	15.2	51.5	139.7	8.2	53.1	22.8	58.6	4.7	37.9

both phosphodiester bonds is similar in 1 M aqueous ethylenediamine, pH 8, at 40° C [8]. In the presence of a complementary strand, the oligoribonucleotide con tain ing 2'-5' phosphodiester bonds is cleaved faster.

The observationsconcerningformation and cleavage of RNA containing 2'-5' and 3'-5' internucleotide bonds suggest that neither of the factors discussed above is responsible for the domination of 3'-5' RNA. Perhaps the arrangement of the internucleotide bond improves the ability of 3'-5' RNA to form thermally more stable and biologically active RNA structures.

Toget in for mation about ther mal stability of 2'-5' RNA duplexes chem ical synthesis of 2'-5' oligoribonucleotides was performed [9]. Protected ribonucleoside-2'-phosphoramidites were used for synthesis on solid support. Deprotection and purification were performed in the same way as for 3'-5' RNA [10]. The sequences of the 2'-5' oligoribonucleotides used in the experiments are listed in Table 1. Ther mal stability of 2'-5' RNA duplexes

was about half of that observed in 3'-5' RNA. Analysis of the correlation between the concentration of oligoribonucleotides and melting temperatures demonstrated a linear dependence. This means that melting of 2'-5' oligoribonucleotides proceeds via a two state transition similar to 3'-5' RNA. The thermodynamic parameters: enthalpy (ΔH^0), en tropy (ΔS^{0}) and free en ergy (ΔG^{0}_{37}) as well as meltingtemperature ($T_{\rm m}$) are collected in Table 1. Analysis of this data dem on strated that 2'-5' oligoribonucleotides were much less stable than 3'-5' oligoribonucleotides. The value of free energy (ΔG^{0}_{37}) of 2'-5' RNA was less than 50% of the free en ergy of 3'-5' RNA or 1 kcal/mol less per each G-C base pair present in the duplex. The melting temperature was 30 to 40°C lower than for 3'-5' RNA. This means that, at 37°C and physiological concen tration, 2'-5' oligoribonucleotides were in a single stranded form and did not form stable RNA he lixes.

Additional information about the structure of 2'-5' duplexes is provided by CD and NMR

spectra. CD spectra demonstrate that 2'-5' RNA forms an A-form RNA helix at low temper a ture [12]. As shown in Fig. 2, the CD spectra of 1.1×10^{-4} M 2'-5' CGGCGCCG dem on strated A-form RNA, but increasing the tem-



Figure 2. Temperature dependent CD spectra of 1.10×10^{-4} M of 2'-5' CGGCGCCG at 0°C (--), 20°C (- - -), 40°C (- - -) and 60°C (· · ·).

So lu tion was 1 M so dium chlo ride, 10 mM so dium phos phate, 0.5 mM Na₂EDTA, pH 7.0.

per a ture above 20°C resulted in a progres sive de crease of the am pli tude at 250 nm. The de creasing amplitude means that 2'-5' RNA was melted and the helical structure was lost (meltingtemperature of 2'-5' CGGCGCCG at thisconcentrationwas46.4°C). Similar behav ior was ob served for 3'-5' RNA [13]. ¹H NMR spectra indicated that imino protons of guanosines and amino protons of cytidines formed hydrogen bonds with nucleotides in the complementary strand [14]. Figure 3 pres ents the ¹H NMR spectrum of 1.4 mM 2'-5' CGGCGCCG at 10°C. The saturation of resonances at 12.59, 12.93 and 13.02 ppm allows their assignment to imino protons of G5, G2 and G3, re spec tively, and at the same time to correlate them to amino protons of cytidines (region 8.3–8.8 ppm).

Evidently, 2'-5' RNA forms helical structures but their ther mal sta bil ity is much lower than for 3'-5' RNA. Perhaps this is a reason why nature selected 3'-5' RNA over 2-5' RNA. Recently, ther mal stabil ity of 2'-5' DNA was mea sured. It was found to be significantly (about 30°C) lower than 3'-5' DNA [15, 16]. Moreover, using NMR techniques the structure of 2'-5' d(CGGCGCCG) was solved and it formed an A-form DNA containing alternating N-type and S-type puckers of 3'-deoxyriboses [17].



Figure 3. 500 MHz pro ton NMR spec trum of 2'-5' CGGCGCCG.

(A) spec trum of 1.4 mM 2'-5' CGGCGCCG at 10°C in 100 mM sodium chloride, 10 mM sodium phosphate and 0.5 mM Na₂EDTA in 90% H₂O, 10% D₂O, pH 7.0. Differ ence spec tra follow ing 1-s sat u ration of (**B**) the resonance at 12.59 ppm, (**C**) the resonance at 12.93 ppm, and (**D**) the res o nance at 13.02 ppm. The sat urated res o nances are in di cated by ar rows while the observed NOEs are des ig nated by as ter isks.

Contribution of un paired ter minal nucleo tides in fold ing of RNA

Unpaired terminal nucleotides (also called dan gling ends) in RNA are very of ten present next to helical regions. They can be 3'- or 5'-dangling ends depending on which side of the du plex the un paired nu cleo tides are pres ent. A study of many he li cal RNA mod els dem on strated that the pres ence of a dan gling end can stabilize an RNA duplex and change its free energy by up to 1.7 kcal/mol (Table 2) [18]. The presence of 3'-dangling ends increases the stability (ΔG^{0}_{37}) of duplexes by 0.1 to 1.7 kcal/mol, whereas 5'-danglingends stabilize duplexes by up to 0.5 kcal/mol. More over, this stability depends on the sequence of the dangling end, as well as the sequence and orientation of the adjacent terminal base pair. In large RNA molecules, the definition of dangling end is extended to include unpaired nucleotides in hairpin loops, bulge loops, internal loops and multibranch loops adjacent to

ysis of 124 dangling end interactions in 34 RNA structures was performed [21, 22]. At the beginning it was necessary to define the meaning of stacking terminal unpaired nucleo tides. Based on an anal y sis of many structures, a dangling end considered as stacking must fulfill simultaneously the following requirements: (i) the nearest approach of a non-hydrogen atom of the nucleotide base in the dangling end to a non-hydrogen atom in the ter mi nal pair must be $\leq 4 \text{ Å}$, (ii) the an gle

Table 2. Free en ergy in crements (kcal/mol) for un paired ter minal nu cleo tides

						Х				
	А	С	G	U		А	С	G	U	
	3'-dan gling ends						5'-dan gling ends			
→ AX U ←	-0.8	-0.5	-0.8	-0.6	→ XA U ←	-0.3	-0.3	-0.4	-0.2	
→ CX G ←	-1.7	-0.8	-1.7	-1.2	, XC G	-0.5	-0.2	-0.2	-0.1	
→ GX C ←	-1.1	-0.4	-1.3	-0.6	→ XG C	-0.2	-0.3	0.0	0.0	
→ UX A ←	-0.7	-0.1	-0.7	-0.1	→ XU A ←	-0.3	-0.2	-0.2	-0.2	

helical fragments. Stacking interactions between un paired ter mi nal nu cleo tides and terminal base pairs in the helix are responsible for stabilizing the duplex. An analysis of the secondarystructureoftRNA^{Phe} from yeast indi cates that 12 nu cleo tides can be con sid ered as 5'- and 3'-dan gling ends [19]. Based on the crystal structure of tRNA^{Phe}, it can be seen that some dan gling ends stack on ad ja cent he lixes whereas some do not [20]. This observation raises interesting questions concerning the gen eral char acter of this phe nom e non.

Recently, using X-rays and NMR methods, several RNA structures have been solved. Based on the data available in the Nucleic Acid Data base and Protein Data bank, an anal between the planes of the nucleotide base in the dangling end and at least one base of the ter mi nal pair must be ≤ 30 Å, (iii) the nu cle o tide base in the dan gling end over laps the ad jacent base pair.

The next step of anal y sis was cor re lat ing the dan gling end and ad ja cent base pair ar range ment in analyzed RNA to the effect of the same dangling end on the stability of model RNA du plexes. The re sults of the anal y sis can be col lected into three classes. The first group contains the dangling ends which stabilize $(\Delta G^{0}_{37,\text{stack ing end}})$ model RNA du plexes by at least 0.8 kcal/mol. There were 36 such cases among the analyzed dangling ends and in 30 (83%) of them, the base at the dangling end

stacked on the ter mi nal base pair. The re main ing six se quences which are not stacked, were of ten as so ci ated with a strong in ter ac tion im portant for stabilizing the unstacked confor-



Figure 4. The secondary structure of tRNA^{Phe} from yeast with strongly stack ing nu cleo tides circled and weakly stack ing nu cleo tides boxed.

mation. The next group in cludes 32 dan gling ends and in these cases 21 (66%) were stacked. For this group, the sta bil ity of model RNA duplexes ($\Delta G^{0}_{37,\text{stack ing end}}$) increases by 0.4 to 0.7 kcal/mol. Finally, the last group includes 56 dan gling ends, among them only 19 (34%) are stacked on the adjacent base pair. The dangling ends of this group increase the stability ($\Delta G^{0}_{37,\text{stacking end}}$) of model RNA duplexes by less than 0.3 kcal/mol. These re sults support the hypothesis that dangling ends, which strongly stabilize RNA helices, prefer to stack on top of the ad ja cent he lix and in this way con trib ute to the 3D struc ture of RNA.

The con tri bu tion of dan gling ends to the 3D structure of RNA is pretty clear in tRNA^{Phe} from yeast [19]. An analysis of the 2D structure of this tRNA indicates the presence of twelve 5'- and 3'-dan gling ends (Fig. 4). They

are placed in the anticodon, $T\Psi C$ and DHU hairpin loops, and at junctions between hairpins. An analysis of dangling endorientation in the 3D structure of tRNA^{Phe} from yeast confirmed that some dangling ends do stack and some do not stack on ad ja cent he li cal base pairs (Fig. 5) [20]. The following 5'-dangling ends do not stack: A9, A21, C48 and C60, and 3'-dan gling end U8 (Fig. 5A). It is known from model studies that all 5'-dangling ends mentioned, ad ja cent to base pairs in such an ori en tation as occurs in tRNA^{Phe}, destabilize model RNA du plexes by 0.2 kcal/mol while U8 sta bilizes the duplex by 0.1 kcal/mol [18]. At the same time seven dangling ends (including A14, m_2^2 G26, A44, T54 and A73) stack on adjacent base pairs (Fig. 5B). These dangling ends in model RNA duplexes stabilize the he lix by at least 1 kcal/mol. Two re main ing dangling ends, Cm32 and A38, also stack. However, they stabilize model RNA duplexes by 0.5 and 0.3 kcal/mol, respectively [18]. The stack ing of those two dan gling ends can be en hanced by a strong in ter action with Mg^{+2} and wa ter within the anticodon loop [20]. Three of the stack ing nucleo tides are modified and it is possible that modification additionally enhances the stacking interactions. For example, it was observed that 3N-methyluridine, 5-methyluridine and uridine as 3-dangling ends stabilize RNA duplexes ($\Delta G^{0}_{37,\text{stacking}}$ end) by 1.51, 1.08 and 0.82 kcal/mol, respectively.

Very interesting is the example of the unstacked U8 within the 3D structure of tRNA. Particularly noticeable is the correlation between the stabilization of the amino acid acceptor arm by U8 ad ja cent to various terminal base pairs and the fre quency of oc cur rence of such arrangements. Uridine-8 is one of the conserved nucleotides in tRNA and does not stack on the adjacent base pair at position 7 and 66, but instead forms a reversed Hoogsteen base pair with A14. An anal y sis of 415 tRNA se quences is present in Fig. 6 [23]. There are four possible arrangements of U8 and terminal base pairs at position 7 and 66.



Figure 5. Ar rangement of un stack ing (A) and stack ing (B) dan gling ends and ad ja cent base pairs (marked by the same color) within the 3D struc ture of tRNA^{Phe} from yeast.

Uridine-8 as a 3'-dangling end stabilizes the RNA du plex by 0.1, 0.6, 0.6 and 1.2 kcal/mol for the arrangements of base pairs shown in seg ments A–D, re spec tively. For the first one (Fig. 6A), the stability of U8 as a 3'-dangling end ($\Delta G^{0}_{37,\text{stacking end}}$) is only 0.1 kcal/mol and this case occurs 90 times (22%). The observed effect is very small, characteristic for unstacked dangling ends. For the arrangements presented in Figs. 6B and 6C, the U8 stack ing with A-U and G-C should in crease the sta bil ity, in both cases, by 0.6 kcal/mol. Both

arrangements belong to dangling ends in which stacking was ambiguous and together they oc cur 305 times (73%) in the tRNAs an a lyzed. In the last case (Fig. 6D), the stabilization is very large ($\Delta G^{0}_{37,\text{stacking end}} = -1.2$ kcal/mol) and U8 should stack with the ter minal C-G base pair. Such an arrangement occurs 20 times (5%). The coaxial stacking of amino-acid ac cep tor and T Ψ C arms com petes with the stacking dangling ends U8 and C48. When the amino-acid ac cep tor and T Ψ C arms stack co ax i ally, as was calculated for tRNA^{Phe},

A		D	
	B•P 7U•A66 8U		B●P 7A●U66 8U
$\Delta G^{o}_{37, \ \text{rtscking}} = -$	0.1 kcal/mol	$\Delta G^{\circ}_{37, \ \text{stacking}} = -$	0.6 kcal/mol
occurrence:	90 (22%)	occurrence:	128 (31%)
с		D	
	B• P		B• P
	7G • C66		7C • G66
	8U		8U
$\Delta G^{o}_{37, \text{ stacking}} = -$	0.6 kcal/mol	$\Delta G^{\circ}_{37, \text{ stacking}} = -$	1.2 kcal/mol
occurrence:	177 (42%)	occurrence:	20 (5%)

Figure 6. Cor relation be tween ar range ments of base pairs at positions 7 and 66 of tRNA and free energy 3' stack ing of U8 (pan els A–D) and oc cur rence of such ar range ments in tRNA.

they contribute –2.4 kcal/mol of stability while U8 and C48 as dangling ends together contribute –0.4 kcal/mol [24].

The results discussed above suggest that RNA often selects structural motives which are thermodynamically more stable. However, it is important to remember that inter ac tions compete with each other and these in teractions which contribute to the overall thermodynamic stability of RNA are a major determinant. The sequences and structures of RNA at the beginning of evolution are not known but presumably nature selected those RNAs which were the most thermodynami cally stable and at the same time the most bi o logically active.

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